



PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Craig A. Nies, et al.
SERIAL NO.: 09/672,142
FILING DATE: September 27, 2000
TITLE: Webstation: Configuration Web-Based Workstation for Reason Driven Data Analysis
EXAMINER: Unknown
GROUP ART UNIT: 2162
ATTY. DKT. NO.: 5076

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Box Missing Parts, Washington, D.C. 20231, on the date shown below:

Dated: 1/2/01

By: Robert R. Sachs

Robert R. Sachs, Reg. No.: 42,120

BOX MISSING PARTS
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to Form PTO-1533 dated November 22, 2000 received in the above-identified patent application, enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☐ an original, signed Declaration;
- ☐ Assignment and PTO-1619A;

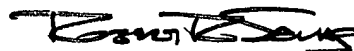
☒ Other: payment in the amount of \$1,726, to cover the application filing fees,
and the missing parts surcharge.

Respectfully submitted,
CRAIG A. NIES, ET AL.

Dated: _____

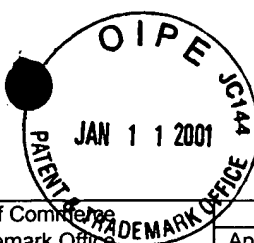
1/3/01

By: _____



Robert R. Sachs, Reg. No.: 42,120
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2410
Fax.: (415) 281-1350

13553/05076/SF/5038773.1



0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1,726)		Application Number	09/672,142
		Filing Date	September 27, 2000
		First Named Inventor	Craig A. Nies
		Group Art Unit	2162
		Examiner Name	Unknown
		Attorney Docket Number	5076

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																									
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. † <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account. Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached 2. <input checked="" type="checkbox"/> Payment Enclosed: [X] Check [] Other		3. ADDITIONAL FEES <table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th><th>Fee Due</th></tr></thead><tbody><tr><td>105/\$130</td><td>205/\$65</td><td>Surcharge - late filing fee or oath</td><td>130</td></tr><tr><td>127/\$50</td><td>227/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr><tr><td>147/\$2,520</td><td>147/\$2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>115/\$110</td><td>215/\$55</td><td>Extension for response within first month†</td><td></td></tr><tr><td>116/\$380</td><td>216/\$190</td><td>Extension for response within second month†</td><td></td></tr><tr><td>117/\$870</td><td>217/\$435</td><td>Extension for response within third month†</td><td></td></tr><tr><td>118/\$1,360</td><td>218/\$680</td><td>Extension for response within fourth month†</td><td></td></tr><tr><td>128/\$1,850</td><td>228/\$925</td><td>Extension for response within fifth month†</td><td></td></tr><tr><td>119/\$300</td><td>219/\$150</td><td>Notice of Appeal</td><td></td></tr><tr><td>141/\$1,210</td><td>241/\$605</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr><tr><td>142/\$1,210</td><td>242/\$605</td><td>Utility Issue Fee (Or Reissue)</td><td></td></tr><tr><td>143/\$430</td><td>243/\$215</td><td>Design Issue Fee</td><td></td></tr><tr><td>122/\$130</td><td>122/\$130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123/\$50</td><td>123/\$50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126/\$240</td><td>126/\$240</td><td>Submission of Information Disclosure Statement</td><td></td></tr><tr><td>581/\$40</td><td>581/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146/\$690</td><td>246/\$345</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>149/\$690</td><td>249/\$345</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td colspan="2">Other fee (specify):</td><td>Ten soft copies of issued patent</td><td></td></tr><tr><td colspan="2">Other fee (specify):</td><td></td><td></td></tr><tr><td colspan="2"></td><td>SUBTOTAL (3)</td><td>(\$ 130)</td></tr></tbody></table>		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	130	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet		147/\$2,520	147/\$2,520	For filing a request for reexamination		115/\$110	215/\$55	Extension for response within first month†		116/\$380	216/\$190	Extension for response within second month†		117/\$870	217/\$435	Extension for response within third month†		118/\$1,360	218/\$680	Extension for response within fourth month†		128/\$1,850	228/\$925	Extension for response within fifth month†		119/\$300	219/\$150	Notice of Appeal		141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application		142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)		143/\$430	243/\$215	Design Issue Fee		122/\$130	122/\$130	Petitions to the Commissioner		123/\$50	123/\$50	Petitions related to provisional applications		126/\$240	126/\$240	Submission of Information Disclosure Statement		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)		146/\$690	246/\$345	Filing a submission after final rejection (37 CFR 1.129(a))		149/\$690	249/\$345	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify):		Ten soft copies of issued patent		Other fee (specify):						SUBTOTAL (3)	(\$ 130)
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																								
105/\$130	205/\$65	Surcharge - late filing fee or oath	130																																																																																								
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet																																																																																									
147/\$2,520	147/\$2,520	For filing a request for reexamination																																																																																									
115/\$110	215/\$55	Extension for response within first month†																																																																																									
116/\$380	216/\$190	Extension for response within second month†																																																																																									
117/\$870	217/\$435	Extension for response within third month†																																																																																									
118/\$1,360	218/\$680	Extension for response within fourth month†																																																																																									
128/\$1,850	228/\$925	Extension for response within fifth month†																																																																																									
119/\$300	219/\$150	Notice of Appeal																																																																																									
141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application																																																																																									
142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)																																																																																									
143/\$430	243/\$215	Design Issue Fee																																																																																									
122/\$130	122/\$130	Petitions to the Commissioner																																																																																									
123/\$50	123/\$50	Petitions related to provisional applications																																																																																									
126/\$240	126/\$240	Submission of Information Disclosure Statement																																																																																									
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)																																																																																									
146/\$690	246/\$345	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																									
149/\$690	249/\$345	For each additional invention to be examined (37 CFR 1.129(b))																																																																																									
Other fee (specify):		Ten soft copies of issued patent																																																																																									
Other fee (specify):																																																																																											
		SUBTOTAL (3)	(\$ 130)																																																																																								
1. FILING FEE <table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th><th>Fee Due</th></tr></thead><tbody><tr><td>101/\$690</td><td>201/\$345</td><td>Utility Filing</td><td>690</td></tr><tr><td>106/\$310</td><td>206/\$155</td><td>Design Filing</td><td></td></tr><tr><td>108/\$690</td><td>208/\$345</td><td>Reissue</td><td></td></tr><tr><td>114/\$150</td><td>214/\$75</td><td>Provisional Filing</td><td></td></tr><tr><td colspan="2">SUBTOTAL (1)</td><td></td><td>(\$ 690)</td></tr></tbody></table>		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	101/\$690	201/\$345	Utility Filing	690	106/\$310	206/\$155	Design Filing		108/\$690	208/\$345	Reissue		114/\$150	214/\$75	Provisional Filing		SUBTOTAL (1)			(\$ 690)																																																																		
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																								
101/\$690	201/\$345	Utility Filing	690																																																																																								
106/\$310	206/\$155	Design Filing																																																																																									
108/\$690	208/\$345	Reissue																																																																																									
114/\$150	214/\$75	Provisional Filing																																																																																									
SUBTOTAL (1)			(\$ 690)																																																																																								
2. CLAIMS <table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th></tr></thead><tbody><tr><td>103/\$18</td><td>203/\$9</td><td>Claims in excess of 20</td></tr><tr><td>102/\$78</td><td>202/\$39</td><td>Independent claims in excess of 3</td></tr><tr><td>104/\$260</td><td>204/\$130</td><td>Multiple dependent claim</td></tr><tr><td>109/\$78</td><td>209/\$39</td><td>Reissue independent claims over original patent</td></tr><tr><td>110/\$18</td><td>210/\$9</td><td>Reissue claims in excess of 20 and over original patent</td></tr></tbody></table>		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	103/\$18	203/\$9	Claims in excess of 20	102/\$78	202/\$39	Independent claims in excess of 3	104/\$260	204/\$130	Multiple dependent claim	109/\$78	209/\$39	Reissue independent claims over original patent	110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent	<table border="1"><thead><tr><th colspan="2">(Col. 1)</th><th colspan="2">(Col. 2)</th><th colspan="2">(Col. 3)</th><th colspan="2"></th></tr><tr><th>For</th><th>No. of Existing Claims</th><th></th><th>Highest No. Previously Paid For</th><th></th><th>Extra**</th><th>Fee</th><th>Fee Due</th></tr></thead><tbody><tr><td>TOTAL</td><td>40</td><td>minus*</td><td>20 or</td><td>=</td><td>20</td><td>x 18</td><td>= 360</td></tr><tr><td>INDEP</td><td>10</td><td>minus*</td><td>3 or</td><td>=</td><td>7</td><td>x 78</td><td>= 546</td></tr><tr><td colspan="8">[] First presentation of multiple dependent claim</td></tr></tbody></table> <p>* Subtract the greater number of Col. 2 ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3</p> <p>SUBTOTAL (2) (\$ 906)</p>		(Col. 1)		(Col. 2)		(Col. 3)				For	No. of Existing Claims		Highest No. Previously Paid For		Extra**	Fee	Fee Due	TOTAL	40	minus*	20 or	=	20	x 18	= 360	INDEP	10	minus*	3 or	=	7	x 78	= 546	[] First presentation of multiple dependent claim																																					
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description																																																																																									
103/\$18	203/\$9	Claims in excess of 20																																																																																									
102/\$78	202/\$39	Independent claims in excess of 3																																																																																									
104/\$260	204/\$130	Multiple dependent claim																																																																																									
109/\$78	209/\$39	Reissue independent claims over original patent																																																																																									
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent																																																																																									
(Col. 1)		(Col. 2)		(Col. 3)																																																																																							
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**	Fee	Fee Due																																																																																				
TOTAL	40	minus*	20 or	=	20	x 18	= 360																																																																																				
INDEP	10	minus*	3 or	=	7	x 78	= 546																																																																																				
[] First presentation of multiple dependent claim																																																																																											
SUBMITTED BY Typed or Printed Name: Robert R. Sachs Signature: <i>Robert R. Sachs</i>		Complete (if applicable) Reg. Number: 42,120 Date: 11/30/01																																																																																									



Sector \$

PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/672,142
			Filing Date	September 27, 2000
			First Named Inventor	Craig A. Nies
			Group Art Unit Number	2162
			Examiner Name	Unknown
Total Number of Pages in This Submission		6	Attorney Docket Number	5076

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Copy of Formalities Letter <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Robert R. Sachs, Registration No. 42,120	Dated:	1/3/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	Robert R. Sachs	Dated:	1/3/01
Express Mail Mailing Number (optional):			



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/672,142	09/27/2000	Craig A. Nies	5076

Robert R Sachs
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306



FORMALITIES LETTER



OC000000005573341

Date Mailed: 11/22/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/12/2001 TGEDAMU1 00000058 192555 09672142
01 FC:101 710.00 OP
02 FC:102 560.00 OP
03 FC:103 360.00 OP
04 FC:105 34.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$906.
 - \$360 for 20 total claims over 20.
 - \$546 for 7 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

01/12/2001 TGEDAMU1 00000058 09672142

- The balance due by applicant is \$ 1726.

01 FC:101 710.00 OP
02 FC:102 560.00 OP
03 FC:103 360.00 OP
04 FC:105 34.00 CH 96.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE